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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi KAWAKUBO

SERIAL NO: 10/736,830

GAU: 2644

FILED: December 17, 2003

EXAMINER:

FOR: BULK ACOUSTIC WAVE DEVICE AND METHOD OF MANUFACTURING THE SAME

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

The applicant(s) wish to make of record the reference(s) cited in the attached Japanese Office Action (with English translation) and listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 246668US2RD	SERIAL NO. 10/736,830		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takashi KAWAKUBO			
				FILING DATE December 17, 2003	GROUP 2644		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA		US 6,242,842 B1	06/05/2001	Wolfgang PAHL, et al.			
AB		US 6,449,828 B2	09/17/2002	Wolfgang PAHL, et al.			
AC		US 6,914,367 B2	07/05/2005	Osamu FURUKAWA			
AD		US 2004/0201305 A1	10/14/2004	Robert AIGNER, et al.			
AE							
AF							
AG							
AH							
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AJ							
AK							
AL							
AM							
AN							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	61-218214	09/27/1986	Japan (with English abstract)	YES	NO	X
	AP	9-83029	03/28/1997	Japan (with English abstract)	YES	NO	X
	AQ	2002-314368	10/25/2002	Japan (with English abstract)	YES	NO	X
	AR	2002-16466	01/18/2002	Japan (with English abstract)	YES	NO	X
	AS	64-41309	02/13/1989	Japan (with English abstract)	YES	NO	X
	AT	11-502974	03/09/1999	Japan (corresponding to references US 6,242,842 B1 and US 6,449,828 B2)	YES	NO	X
	AU	WO 02/05424 A1	01/17/2002	WIPO (with English Abstract and corresponding to reference US 6,914,367 B2)	YES	NO	X
	AV	6-295181	10/21/1994	Japan (with English abstract)	YES	NO	X
	AW	WO 03/030358 A1	04/10/2003	WIPO (with English Abstract and corresponding to reference US 2004/0201305 A1)	YES	NO	X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner				Date Considered			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.